

FEB 27 2007

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# Fax

**To:** Examiner Robert Pond (3625)**Re:** 10/015,258 Revocation of Power of

Attorney with New Power of Attorney and

Change of Correspondence Address

**Firm:** United States Patent and Trademark Office**Date / Time:** February 27, 2007**Street Address:****Phone:** (703) 305-5595**City, State Zip:** Alexandria, VA 22313**Fax:** (571) 273-8300**cc:****No. of Pages:** 10 (including cover)PRIVACY AND CONFIDENTIALITY NOTICE

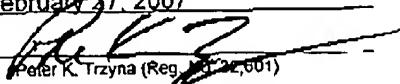
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Date: February 27, 2007Signed: 

Peter K. Trzyna (Reg. No. 22,601)

PATENT

FEB 27 2007

Paper No.

File: Graff-P2-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor	:	Richard A. Graff
Serial No.	:	10/015,258
Filed	:	December 11, 2001
For	:	BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTS
Group Art Unit	:	3625
Examiner	:	POND, Robert M.

---

MS: No Fee Amendment  
The Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address;
2. Notice of Recordation recorded at Reel 012383, Frame 0685; and
3. Statement Under 37 CFR 3.73(b).

Ser. No. 10/015,258  
Atty Ref: Graff-P2-01

**Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.**

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna  
(Reg. No. 32,601)

Date: February 27, 2007

P.O. Box 7131  
Chicago, IL 60680-7131  
(312) 240-0824

FEB 27 2007

PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS

Application Number	10/015,258
Filing Date	December 11, 2001
First Named Inventor	Graff, Richard A.
Art Unit	3625
Examiner Name	Pond, Robert M.
Attorney Docket Number	Graff-P2-01

I hereby revoke all previous powers of attorney given in the above-identified application.

 A Power of Attorney is submitted herewith.

OR

 I hereby appoint the practitioners associated with the Customer Number:

28710

 Please change the correspondence address for the above-identified application to: The address associated with  
Customer Number:

28710

OR

 Firm or  
Individual Name

Address

City

State

Zip

Country

Telephone

Email

I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## SIGNATURE of Applicant or Assignee of Record

Signature

Richard A. Graff, Manager of Window Bench I L.L.C., Partner in Graff/Ross H

Name

Date

Telephone

(312) 923-8144

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

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P2 - 0 !

PTO/SB/96 (09-08)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Graff/Ross HoldingsApplication No./Patent No.: 10/015,258 Filed/Issue Date: December 11, 2001Entitled: BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTSGraff/Ross Holdings a Partnership  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012383, Frame 0685, or for which a copy thereof is attached.

OR

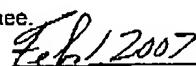
B  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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 Additional documents in the chain of title are listed on a supplemental sheet. As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Date

Richard A. Graff

(312) 923-8144

Printed or Typed Name

Telephone Number

Manager of Window Bench I.L.L.C., Partner

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



FEBRUARY 12, 2002

PTAS

PETER K. TRZYNA  
 P.O. BOX 7131  
 CHICAGO, IL 60680

Under Secretary of Commerce For Intellectual Property and  
 Director of the United States Patent and Trademark Office  
 Washington, DC 20231  
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\*101925276A\*

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 SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/11/2001

REEL/FRAME: 012383/0685  
 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
 GRAFF, RICHARD A.

DOC DATE: 12/11/2001

ASSIGNEE:  
 GRAFF/ROSS HOLDINGS  
 400 N. MICHIGAN  
 CHICAGO, ILLINOIS 60611

SERIAL NUMBER: 10015258  
 PATENT NUMBER:

FILING DATE:  
 ISSUE DATE:

SHARON LATIMER, EXAMINER  
 ASSIGNMENT DIVISION  
 OFFICE OF PUBLIC RECORDS

2-26-2001

FORM PTO-1595  
(Rev. 8-93)  
OMB No. 0651-0011 (exp. 4/94)



COVER SHEET

U.S. Department of Commerce  
Patent And Trademark Office

To the Honorable Commissioner of Patents and Trademarks

101925276

Send the attached original document or copy thereof.

## 1. Name of conveying party(ies):

Richard A. Graff

12/11/01

Additional name(s) of conveying party(ies) attached?

 Yes  No

## 3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Graff/Ross Holdings

Internal Address: \_\_\_\_\_

Street Address: 400 N. Michigan

City: Chicago

State: Illinois Zip: 60611

Additional name(s) &amp; address(es) attached?

 Yes  No

## 4. Application number(s) or registration number(s): \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is December 11, 2001

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter K. Trzyna

Internal Address: P.O. Box 7131

City: CHICAGO State: IL Zip: 60680

## 6. Total number of applications and patents involved 1

7. Total fee (37 C.F.R. 3.41) \$ 40:00

 Enclosed Authorized to be charged to deposit account

8. Deposit account number: 50-0235

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter K. Trzyna  
Name of Person Signing

Signature

December 11, 2001  
Date

Total number of pages including cover sheet, attachments and document: 4

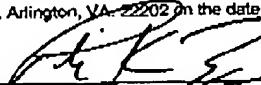
12/18/2001 STEGMELI 00000007 10015258  
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Signed:   
Peter K. Trzyna (Reg. No. 32,601)

Date: December 11, 2001

PATENT

Paper No. 1

File: Graff-P2-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

Inventor	:	GRAFF, Richard A.
Serial No.	:	
Filed	:	December 11, 2001
For	:	BIDDER SYSTEM USING MULTIPLE COMPUTERS COMMUNICATING DATA TO CARRY OUT SELLING FIXED INCOME INSTRUMENTS
Group Art Unit	:	
Examiner	:	

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Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**ASSIGNMENT OF INVENTION**

SIR:

WHEREAS, I, the undersigned am the sole inventor of the subject matter  
described in the above-referenced patent application; and

WHEREAS, Graff/Ross Holdings (hereinafter, the "Assignee"), a  
partnership organized under the laws of the State of Illinois having an address at 400  
North Michigan Avenue, Chicago, Illinois 60611, has an acknowledged right to the entire  
right, title, and interest to the patent application, the invention rights therein, and any

applications related thereto;

NOW, THEREFORE, for consideration reflected in a written agreement executed on 16 April 1998 between the undersigned inventor, Graff/Ross Holdings, Electrum Partners L.L.C., KC ABBE Holdings L.L.C., and Bilger Corporation, I hereby acknowledge that I have sold, assigned, transferred, and set over, and by these presents do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, and assigns, the entire right, title and interest in, to, and under any and all inventions disclosed or described in the above-identified patent application and any and all rights thereto, including the above-identified patent application and any and all United States patents which may be granted pursuant thereto, as well as any reissues or reexamination patent(s); and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for said invention to the Assignee its successors, and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant that I have full right to convey the entire interest assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

THIS ASSIGNMENT of Invention is the entire agreement as to its subject matter. If any portion of this Assignment of Invention is determined to be invalid, illegal, or unenforceable under any present or future law by the final judgment of any court of competent jurisdiction, the remainder of this Assignment of Invention shall not be effected thereby. It is the intention of the undersigned that if any portion of this Assignment of Invention is determined to be invalid, a portion as similar in terms to such portion as is possible to be legal, valid, and enforceable shall be added in lieu thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal on the day  
and year set opposite my signature.

Inventor's Printed Full Name:

Richard A. Graff

Inventor's Signature:

Richard A. Graff

Date:

December 11, 2001

Citizenship:

U.S. Citizen

Residence & Post Office Address:

222 East Pearson Street, #2308

City:

Chicago

State Zip:

Illinois, 60611 USA

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